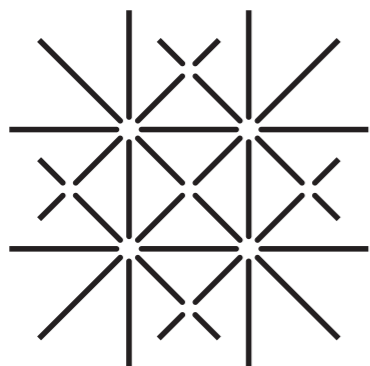


An introduction to cavity optomechanics



Andreas Nunnenkamp
University of Basel

1. What? Why? How?
2. Sideband cooling
3. Strong-coupling regime
4. Dissipative coupling



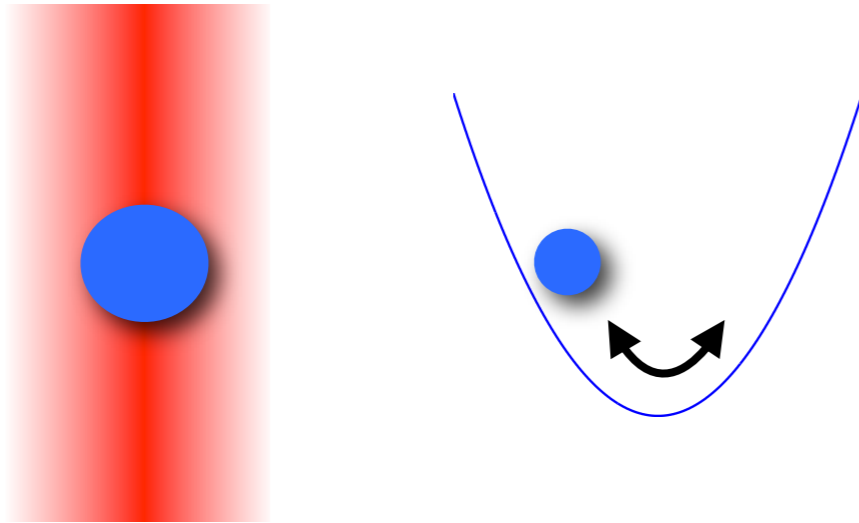
UNI
BASEL



Introduction

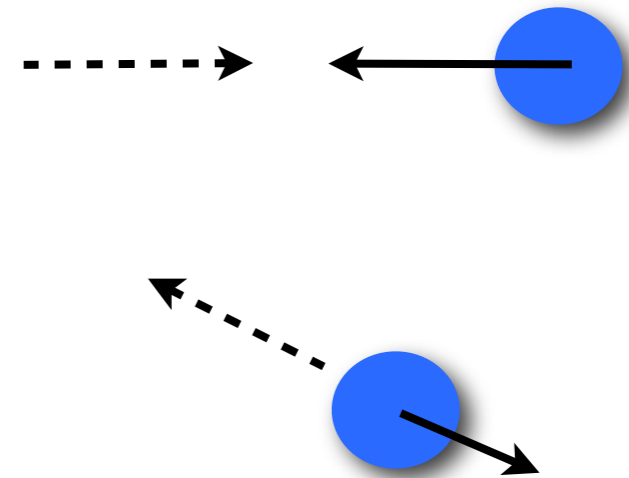
Mechanical effects of light

Dipole or gradient force



- ac-Stark shift
- optical lattices

Scattering force



- radiation pressure
- laser cooling

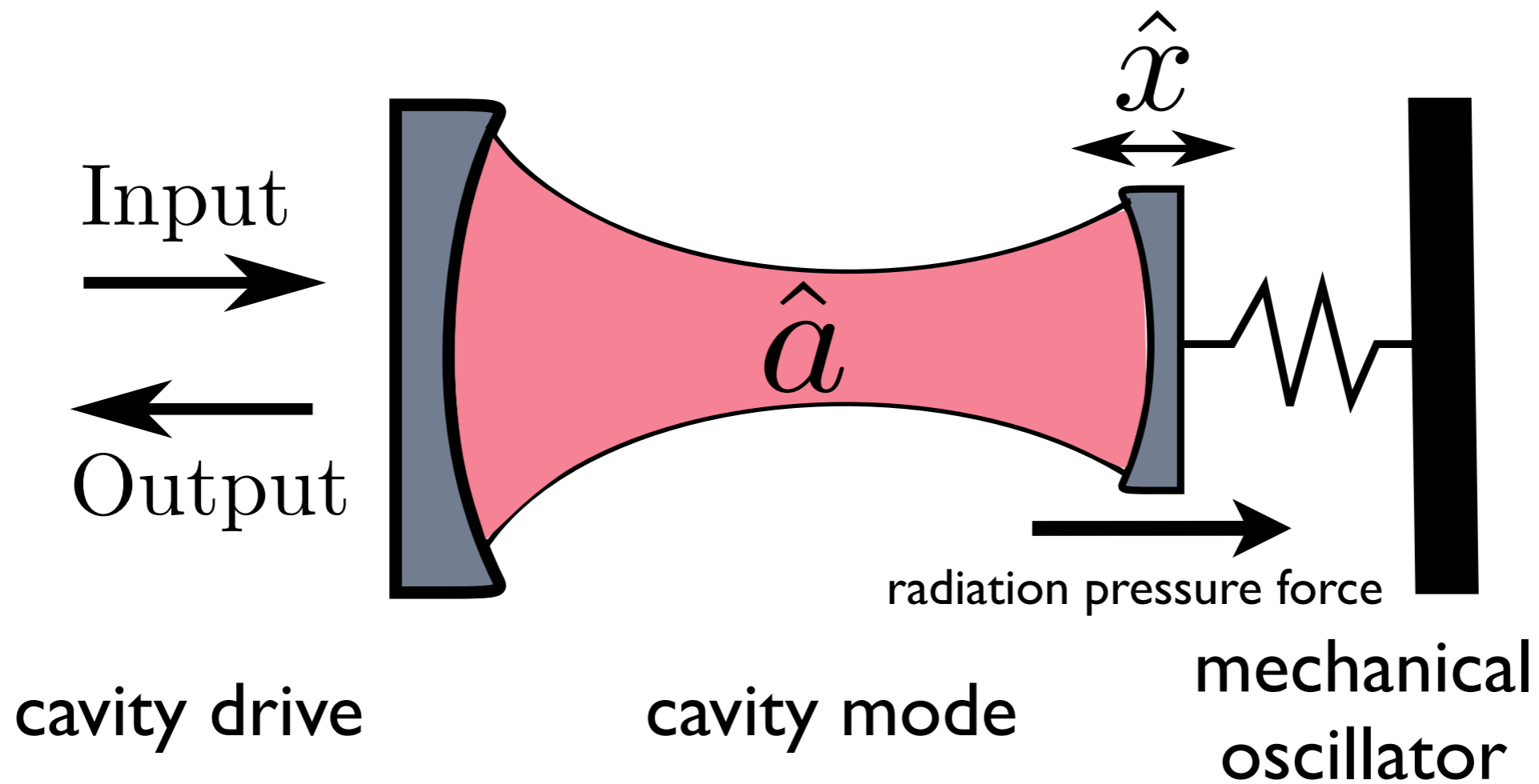
Radiation-pressure force



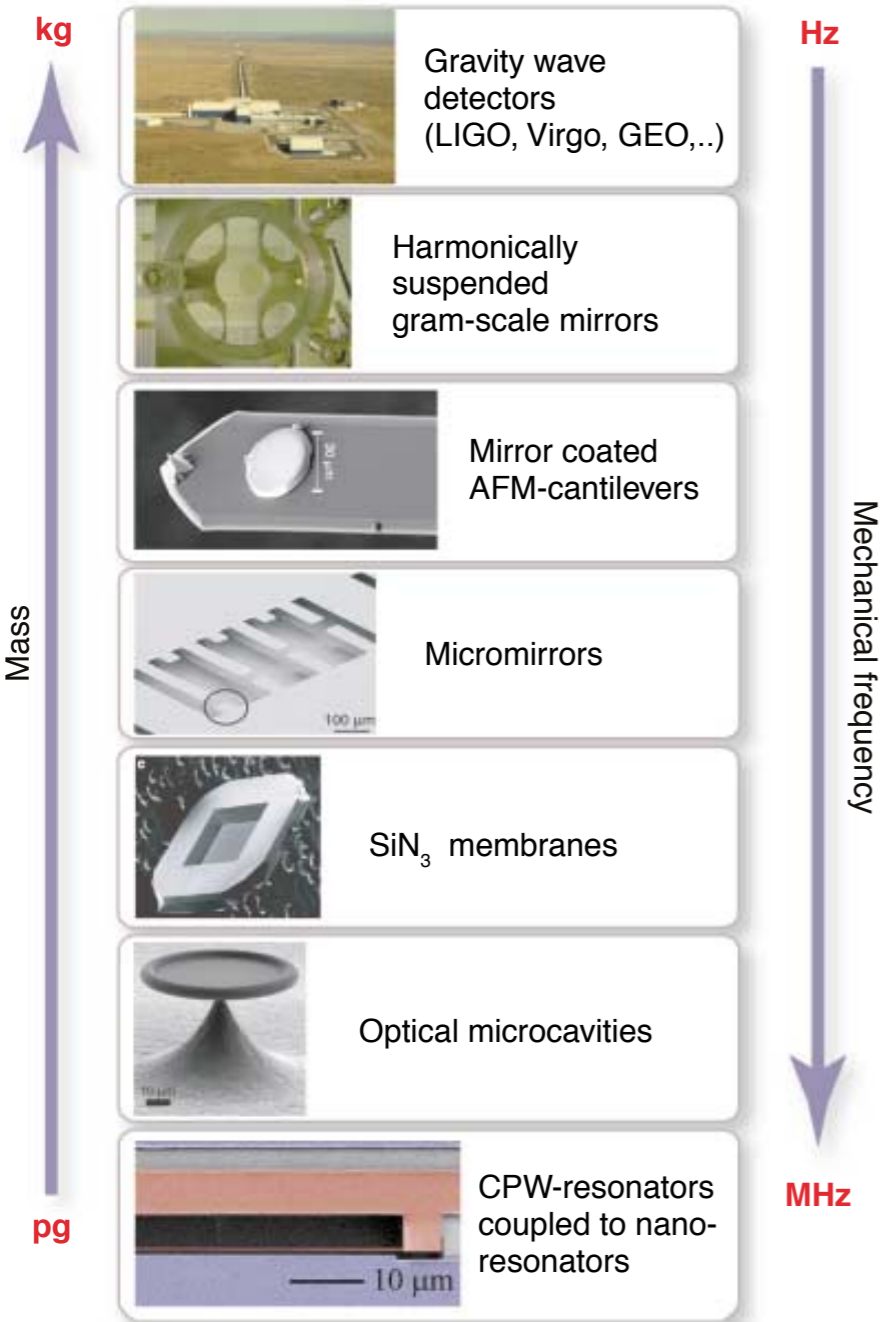
A diagram showing a vertical curved surface. An incident ray with momentum $\hbar k$ strikes the surface from the left. A reflected ray with momentum $-\hbar k$ is shown. The change in momentum is $\Delta p = 2\hbar k = \frac{2E}{c}$. The force is $F = \frac{\Delta p \Delta N}{\Delta t} = \frac{2P_{\text{in}}}{c}$.

$$\Delta p = 2\hbar k = \frac{2E}{c}$$
$$F = \frac{\Delta p \Delta N}{\Delta t} = \frac{2P_{\text{in}}}{c}$$

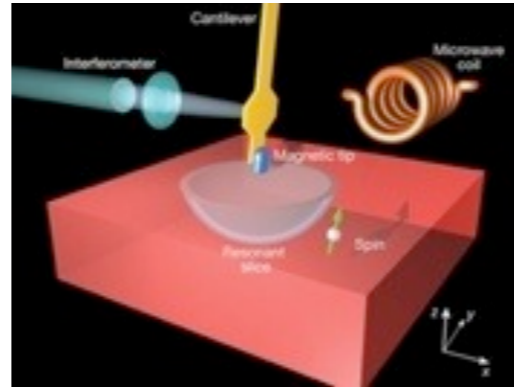
Cavity optomechanics



Experiments & Motivation

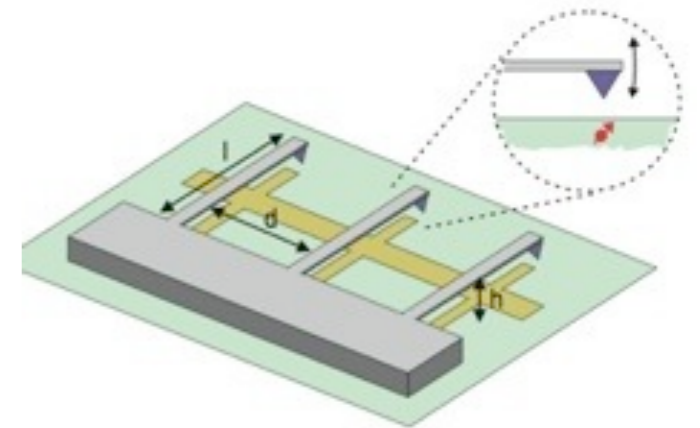


1. Mechanical sensing



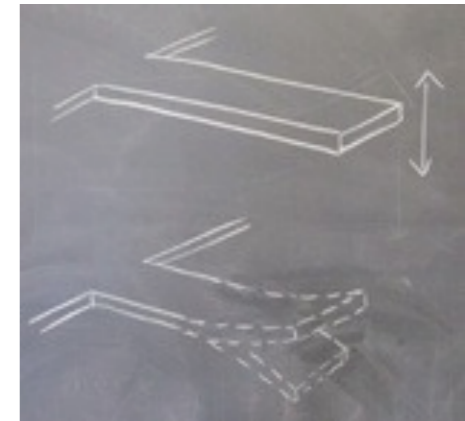
Rugar *et al.*, Nature **430**, 329 (2004)

2. Quantum network

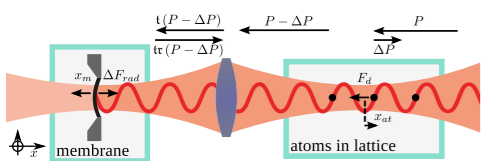


Rabl *et al.*, Nature Physics **6**, 602 (2010)

3. Quantum-classical transition



Penrose & Bouwmeester *et al.*, PRL **91**, 130401 (2003)



ultracold atoms in optical resonators

Marquardt & Girvin, Physics **2**, 40 (2009)
 Kippenberg & Vahala, Science **321**, 1172 (2008)

Cavity optomechanics

We start with

$$\hat{H} = \hbar\omega_C(\hat{x})\hat{a}^\dagger\hat{a} + \hbar\omega_M\hat{b}^\dagger\hat{b}$$

where the position of the mechanical oscillator

$$\hat{x} = x_{\text{ZPF}}(\hat{b} + \hat{b}^\dagger) \quad \text{with} \quad x_{\text{ZPF}} = \sqrt{\frac{\hbar}{2m\omega_M}} \sim 10^{-15} \text{ m}$$

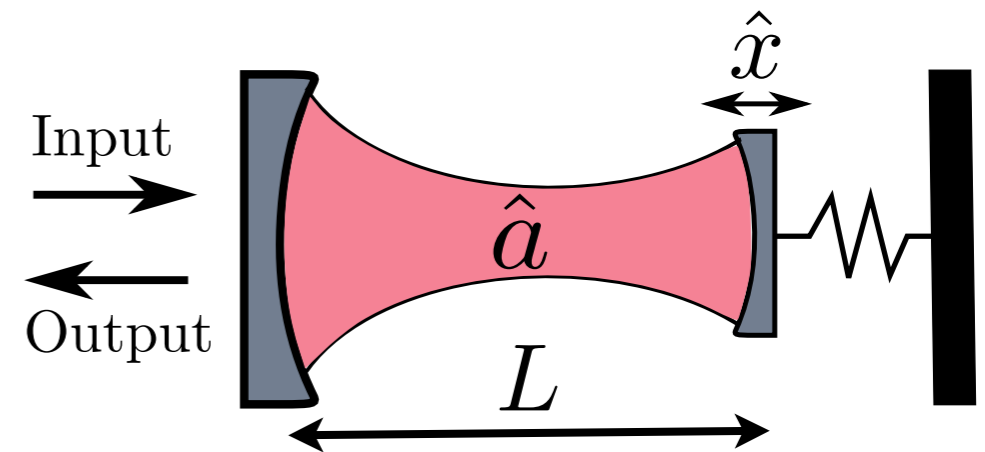
is parametrically coupled to the cavity mode

$$\omega_C(x) = \frac{L}{L+x}\omega_R \approx \left(1 - \frac{x}{L}\right)\omega_R$$

We obtain the “standard model of optomechanics” $\hbar = 1$

$$\hat{H} = \omega_R \left(1 - \frac{\hat{x}}{L}\right) \hat{a}^\dagger \hat{a} + \omega_M \hat{b}^\dagger \hat{b}$$

+ optical drive/decay
 “three-wave mixing” + thermal fluctuations



radiation-pressure force

$$\hat{F} = -\frac{\partial \hat{H}_{\text{int}}}{\partial \hat{x}} = \frac{\omega_R}{L} \hat{a}^\dagger \hat{a}$$

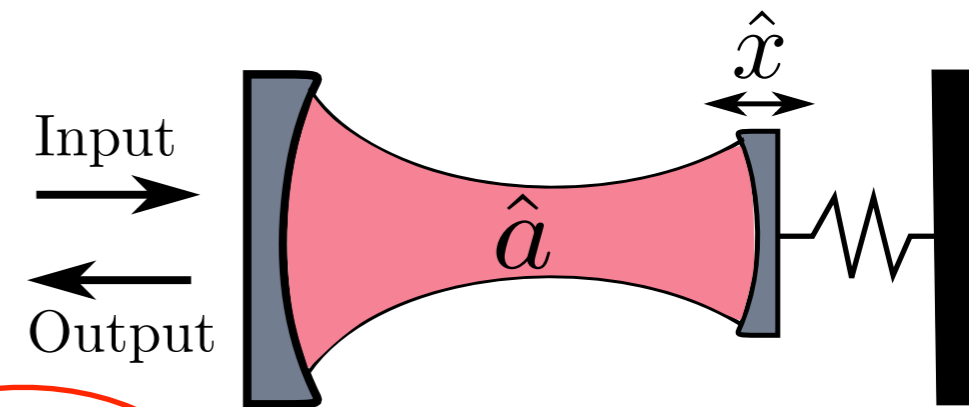
Marquardt & Girvin, *Physics* **2**, 40 (2009)

Kippenberg & Vahala, *Science* **321**, 1172 (2008)

N.B. We neglect the dynamical Casimir effect.

Cavity optomechanics

The Hamiltonian



$$\hat{H} = \hbar (\omega_R + g_0 \hat{x}) \hat{a}^\dagger \hat{a} + \hbar \omega_M \hat{b}^\dagger \hat{b} + \hat{H}_{\text{drive}} + \hat{H}_{\text{env}}$$

$$\hat{x} = x_{\text{zpf}} (\hat{b} + \hat{b}^\dagger)$$

$$x_{\text{zpf}} = \sqrt{\frac{\hbar}{2m\omega_M}}$$

$$g = g_0 x_{\text{zpf}}$$

Position operator

Zero point motion

Far from equilibrium

Coupling rate

Three-wave mixing
Radiation pressure force

with the dimensionless parameters

Ω/κ Δ/ω_m drive strength & detuning

ω_m/κ good/bad-cavity limit

ω_m/γ mechanical quality factor

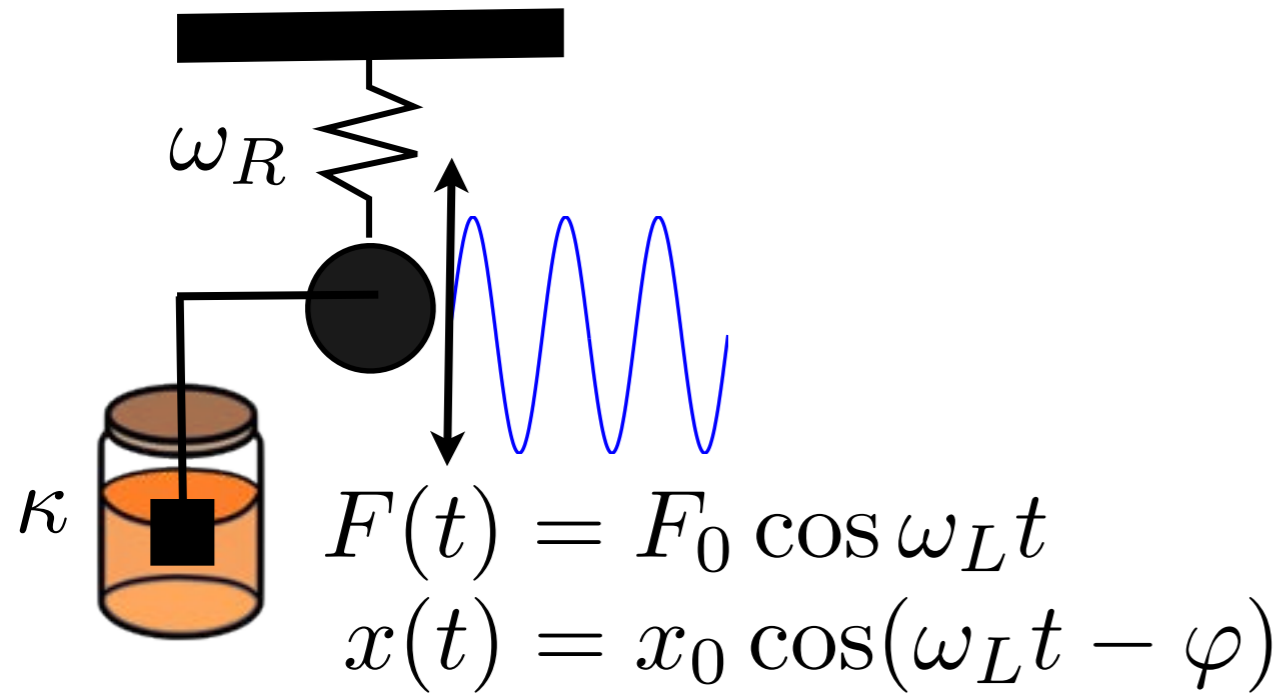
g/κ cavity shift per phonon
in units of line width

g/ω_m oscillator displacement per
photon in units of its ZPF

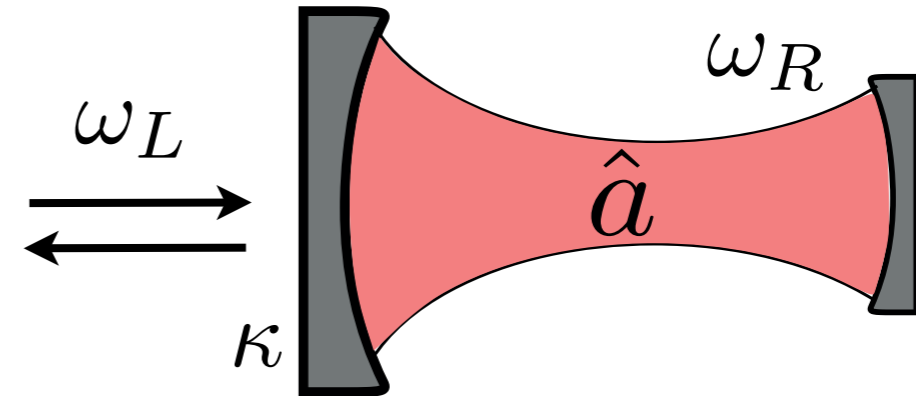
Marquardt & Girvin, *Physics* **2**, 40 (2009)
Kippenberg & Vahala, *Science* **321**, 1172 (2008)

Damped & driven optical resonator

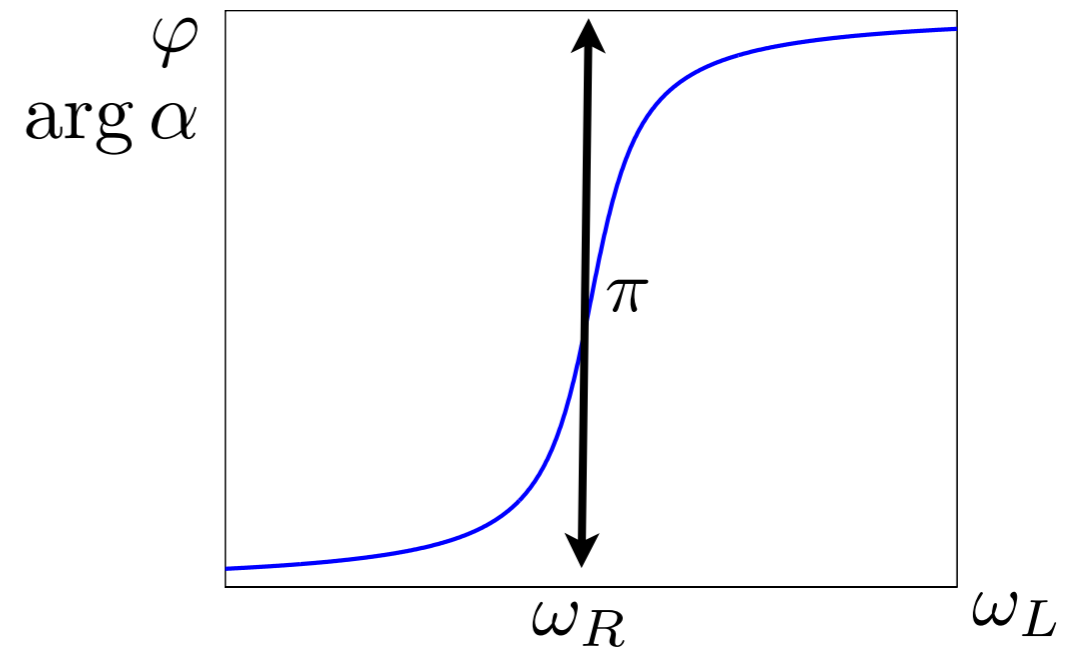
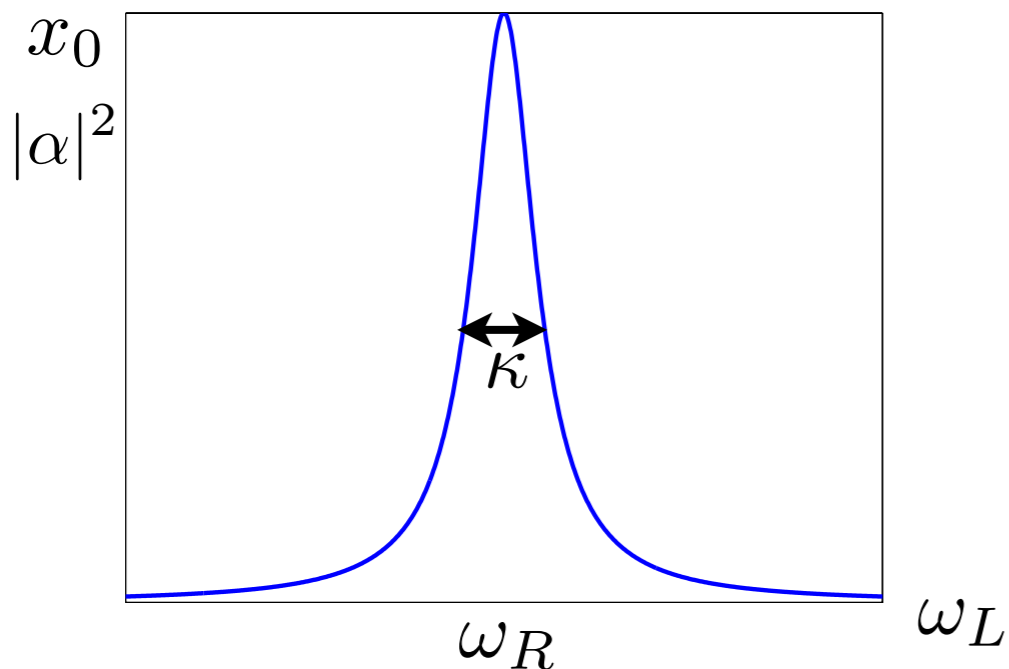
Damped & driven harmonic oscillator



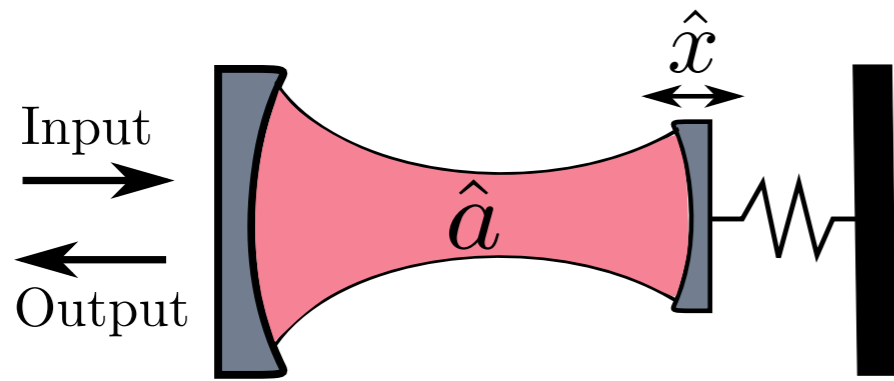
Damped & driven optical resonator



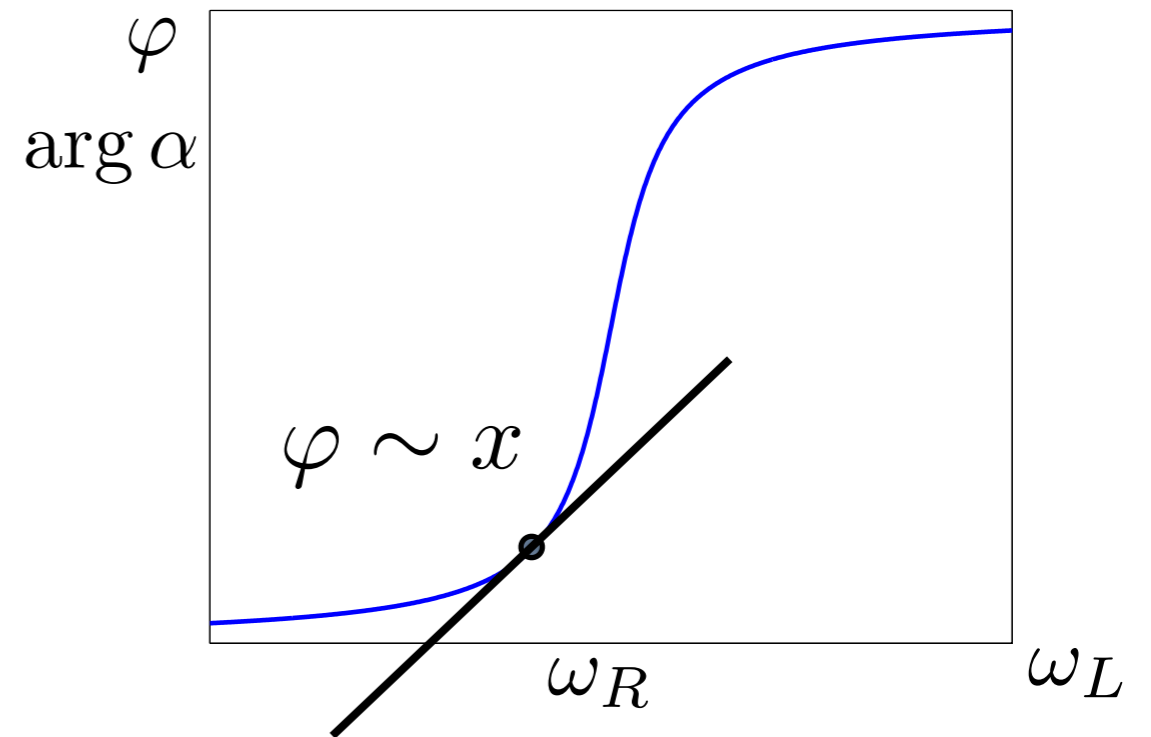
Coherent drive $E(t) = E_0 \cos \omega_L t$
 Coherent state $\hat{a}|\alpha\rangle = \alpha e^{-i\omega_L t}|\alpha\rangle$
 → number fluctuations (shot noise)!



Displacement readout of the mechanical oscillator



$$\hat{H} = \hbar (\omega_R + g_0 \hat{x}) \hat{a}^\dagger \hat{a} + \hbar \omega_M \hat{b}^\dagger \hat{b}$$



A single experimental run might look like...

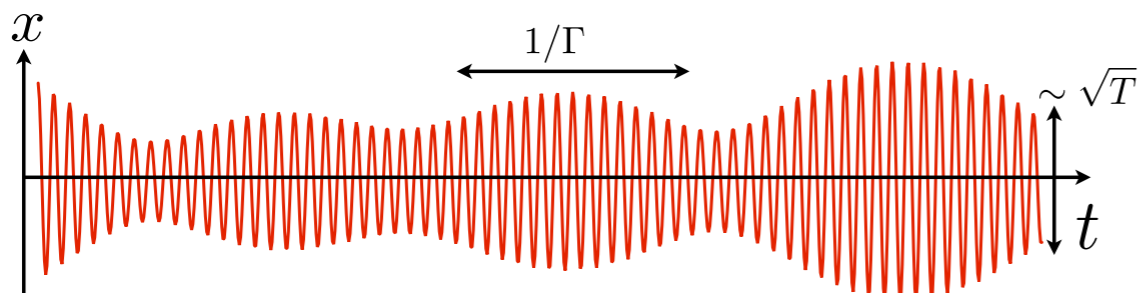


figure by F. Marquardt

we can find the temperature from the area

$$\frac{m\omega_M^2}{2} \langle x^2 \rangle = \frac{k_B T}{2}$$

... and calculating the noise power spectrum

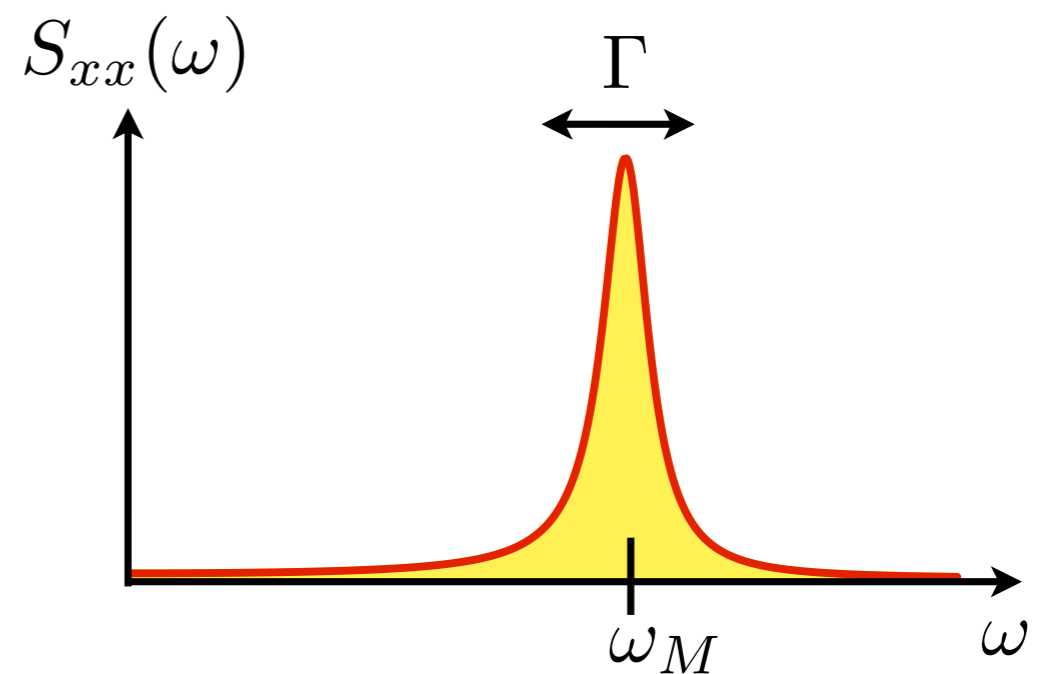


figure by F. Marquardt

Sideband cooling

Sideband cooling: the cavity-enhanced scattering picture

cantilevers, membranes,
wires, carbon nanotubes
need additional cooling

$$\omega_M = \text{kHz} - \text{GHz}$$

$$k_B T \ll \hbar \omega_M$$

$$1 \text{GHz} \sim 50 \text{mK}$$

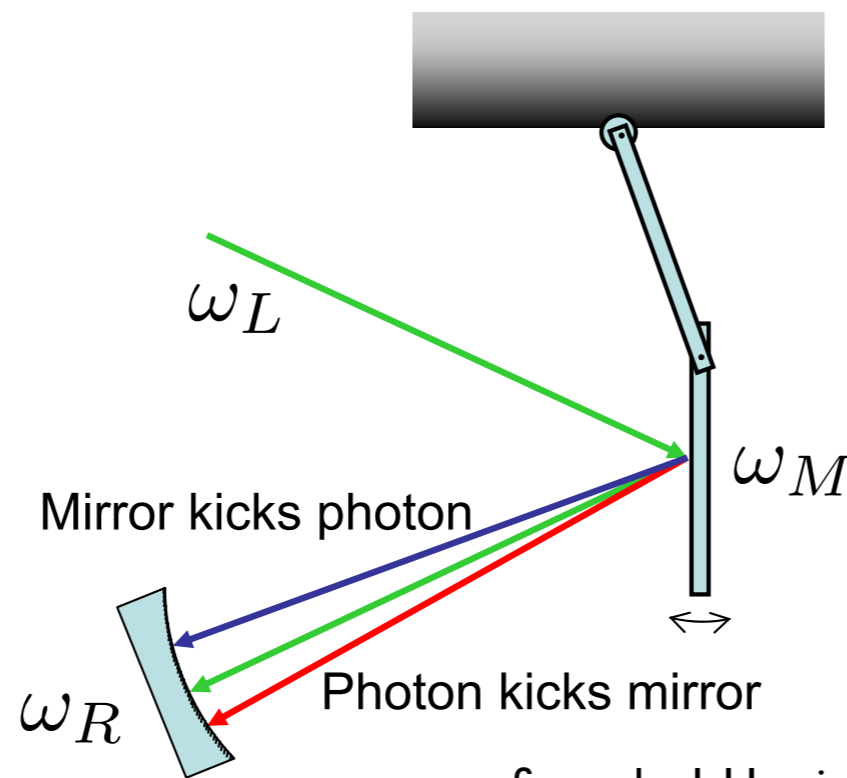
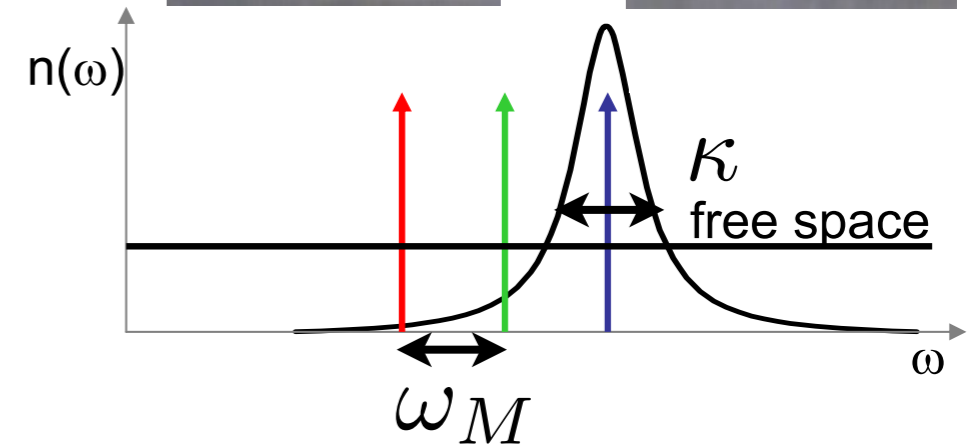
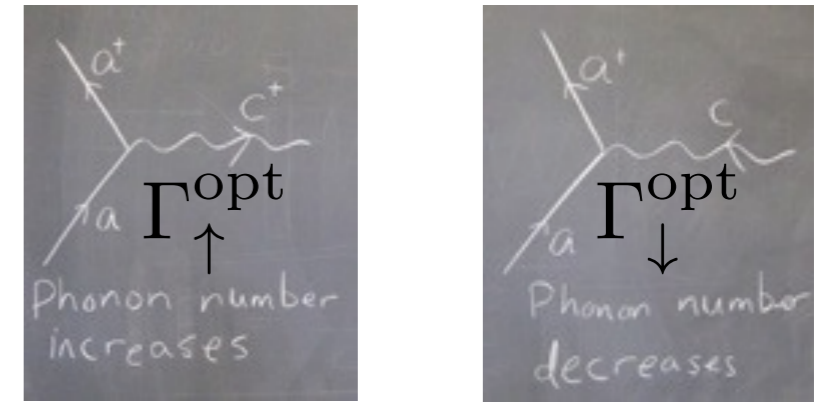


figure by J. Harris

$$\hat{H} = \hbar (\omega_R + g_0 \hat{x}) \hat{a}^\dagger \hat{a}$$



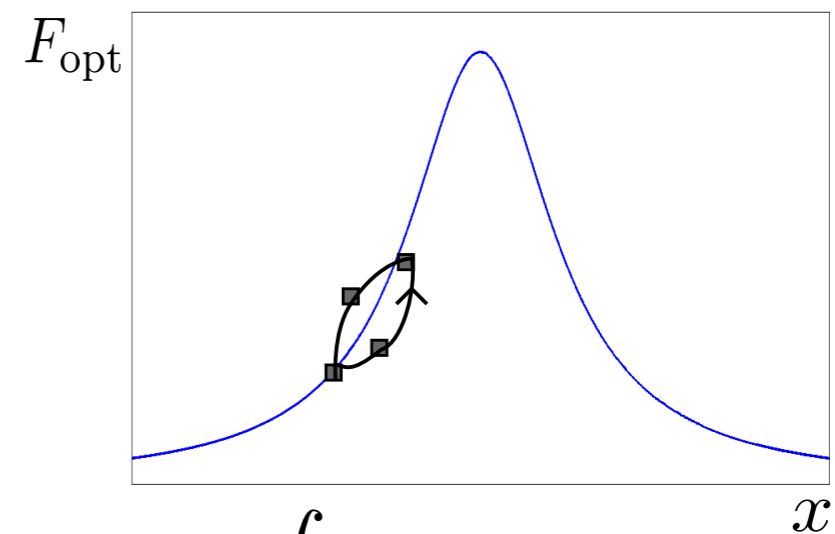
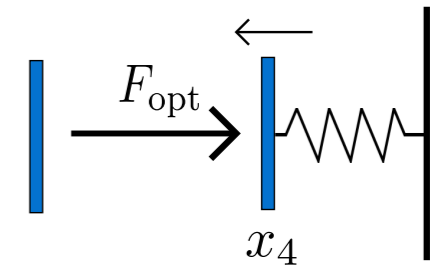
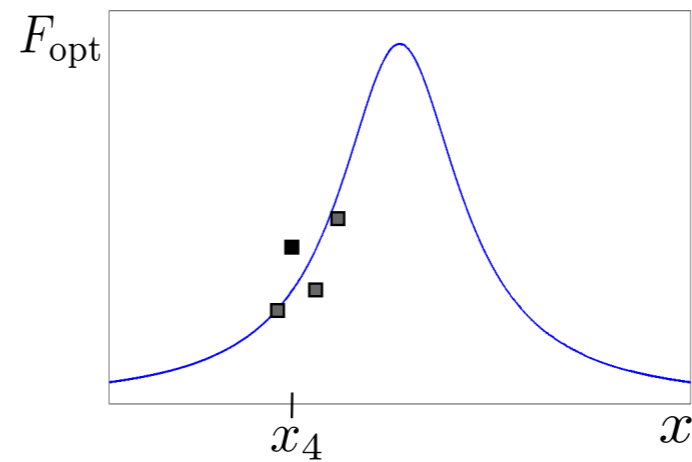
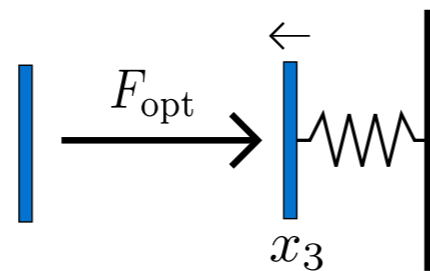
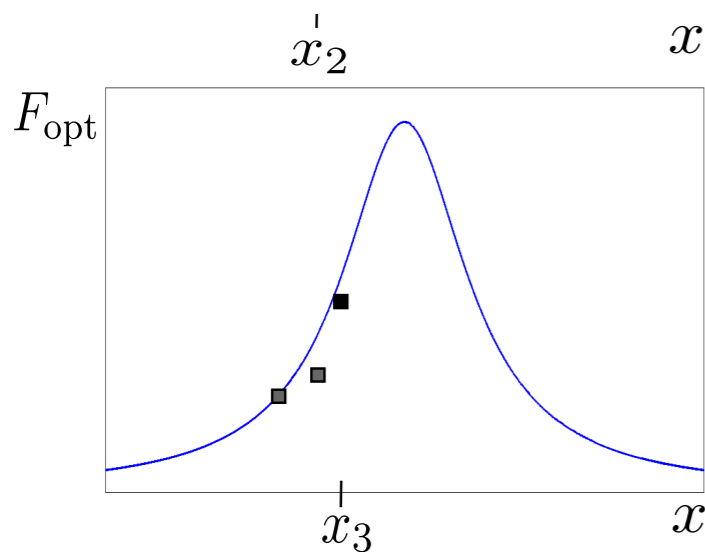
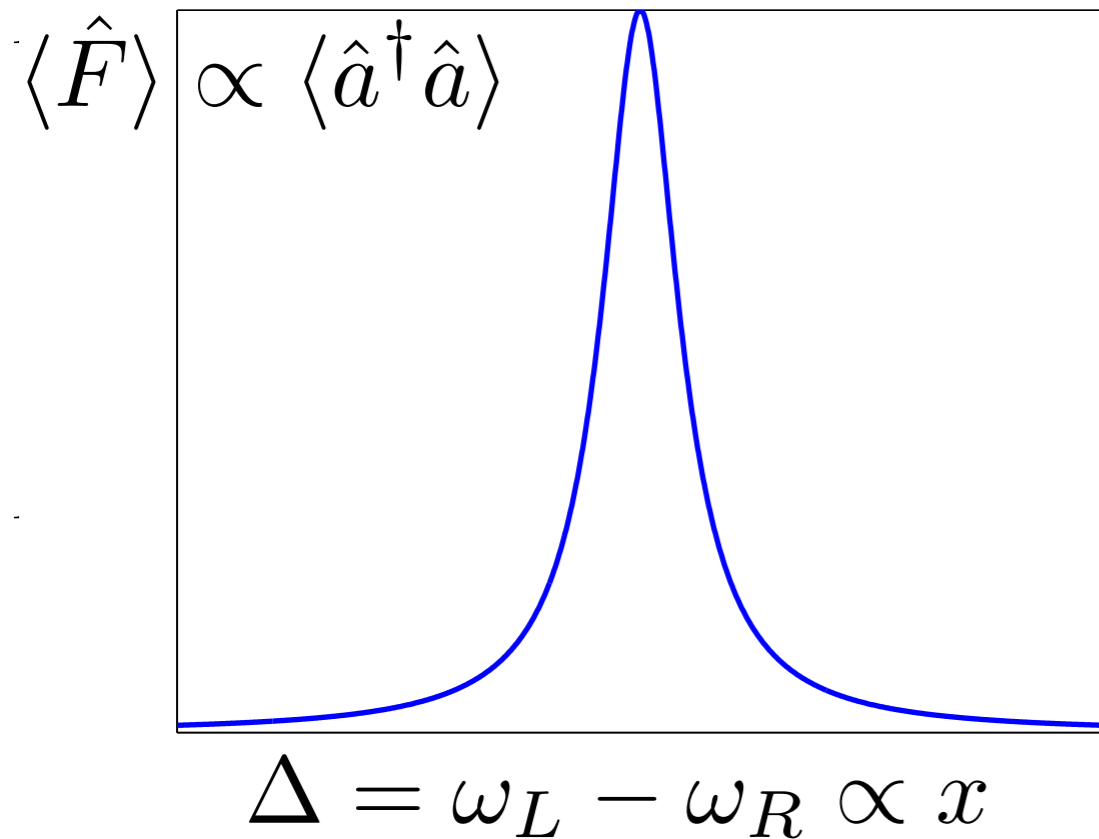
Red-sideband cooling at

$$\omega_L = \omega_R - \omega_M$$

$$\omega_{\text{out}} = \omega_{\text{in}} + \omega_M$$

Ground-state cooling is possible in the sideband-resolved regime $\omega_M \gg \kappa$

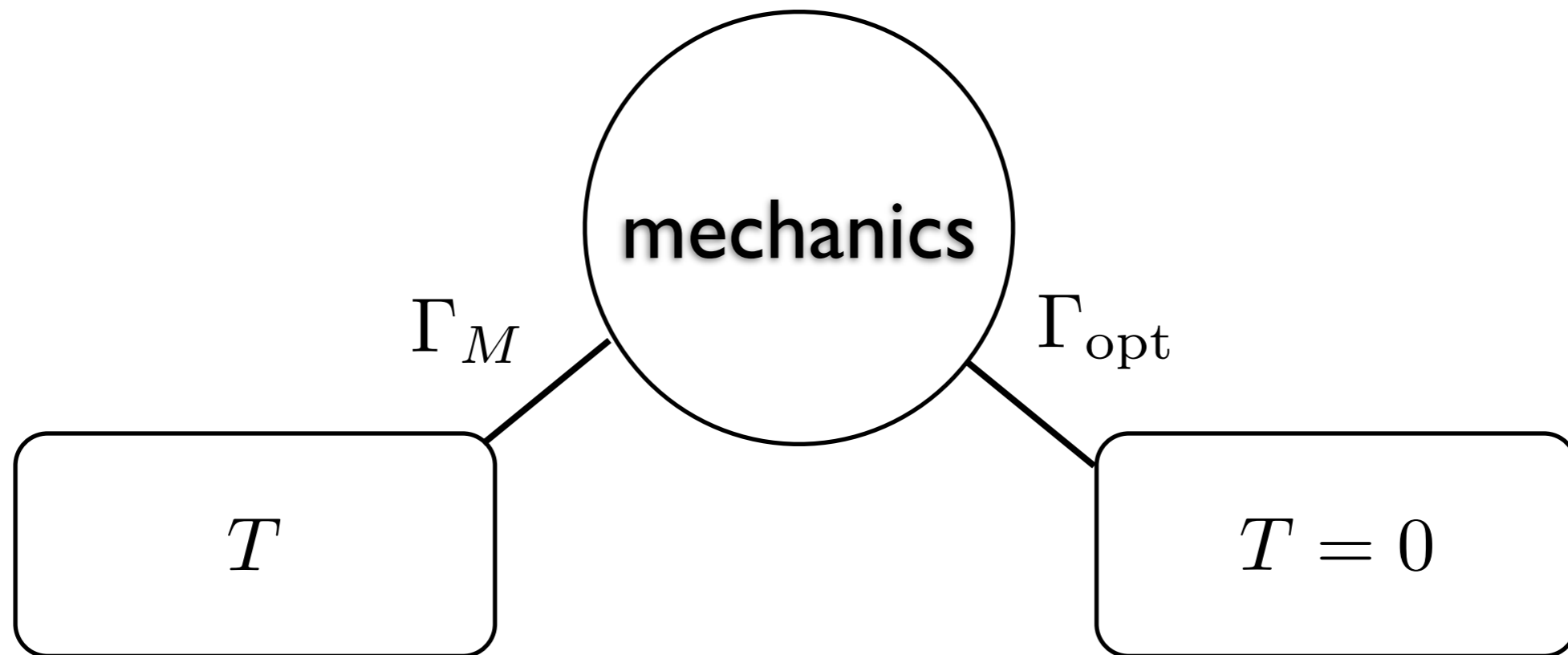
Sideband cooling: the classical picture



$$\oint dx F_{\text{opt}} < 0$$

Damping is due to the finite time lag between mirror position and radiation-pressure force: $\omega_M \gg \kappa$

Sideband cooling: the classical picture



$$T_{\text{eff}} = T \frac{\Gamma_M}{\Gamma_M + \Gamma_{\text{opt}}} \xrightarrow{\Gamma_{\text{opt}} \rightarrow \infty} 0$$

→ neglects number fluctuations (shot noise)!

The coupling to the cold optical bath leads to increased damping without additional fluctuations.

Sideband cooling: the quantum noise approach

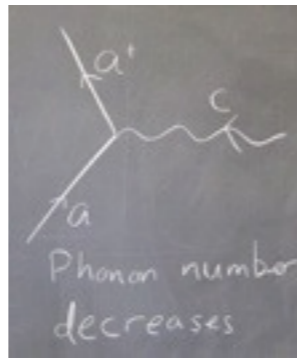
At weak coupling all you need to know is the force spectrum

$$\hat{H}_{\text{int}} = -\hat{F}\hat{x} \quad S_{FF}(\omega) = \int dt e^{i\omega t} \langle \hat{F}(t)\hat{F}(0) \rangle$$

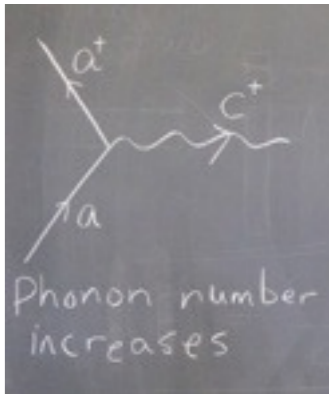
with $\hat{F} = \frac{\omega_R}{L}\hat{a}^\dagger\hat{a}$

Obtain the rates with Fermi's Golden Rule

$$\Gamma_{\downarrow}^{\text{opt}} = \frac{x_{\text{ZPF}}^2}{\hbar^2} S_{FF}(\omega_M)$$



$$\Gamma_{\uparrow}^{\text{opt}} = \frac{x_{\text{ZPF}}^2}{\hbar^2} S_{FF}(-\omega_M)$$



And calculate the steady-state phonon number

$$\bar{n}_M = \frac{\Gamma_M \bar{n}_{\text{th}} + \Gamma_{\text{opt}} \bar{n}_M^O}{\Gamma_M + \Gamma_{\text{opt}}}$$

$$\Gamma_{\text{opt}} = \Gamma_{\downarrow}^{\text{opt}} - \Gamma_{\uparrow}^{\text{opt}} \quad \text{optical damping}$$

$$\bar{n}_M^O = \frac{\Gamma_{\uparrow}^{\text{opt}}}{\Gamma_{\text{opt}}} \quad \text{minimal phonon number}$$

Marquardt *et al.*, PRL **99**, 093902 (2007)

Wilson-Rae *et al.*, PRL **99**, 093901 (2007)

Clerk *et al.*, RMP **82**, 1155 (2010)

Sideband cooling: the quantum noise approach

Calculate the force (i.e. shot-noise) spectrum

$$S_{FF}(\omega) = \int dt e^{i\omega t} \langle \hat{F}(t) \hat{F}(0) \rangle \quad \text{with} \quad \hat{F} = \frac{\omega_R}{L} \hat{a}^\dagger \hat{a}$$

$$= \left(\frac{\hbar \omega_R}{L} \right)^2 \bar{n}_p \frac{\kappa}{(\omega + \Delta)^2 + (\kappa/2)^2}$$

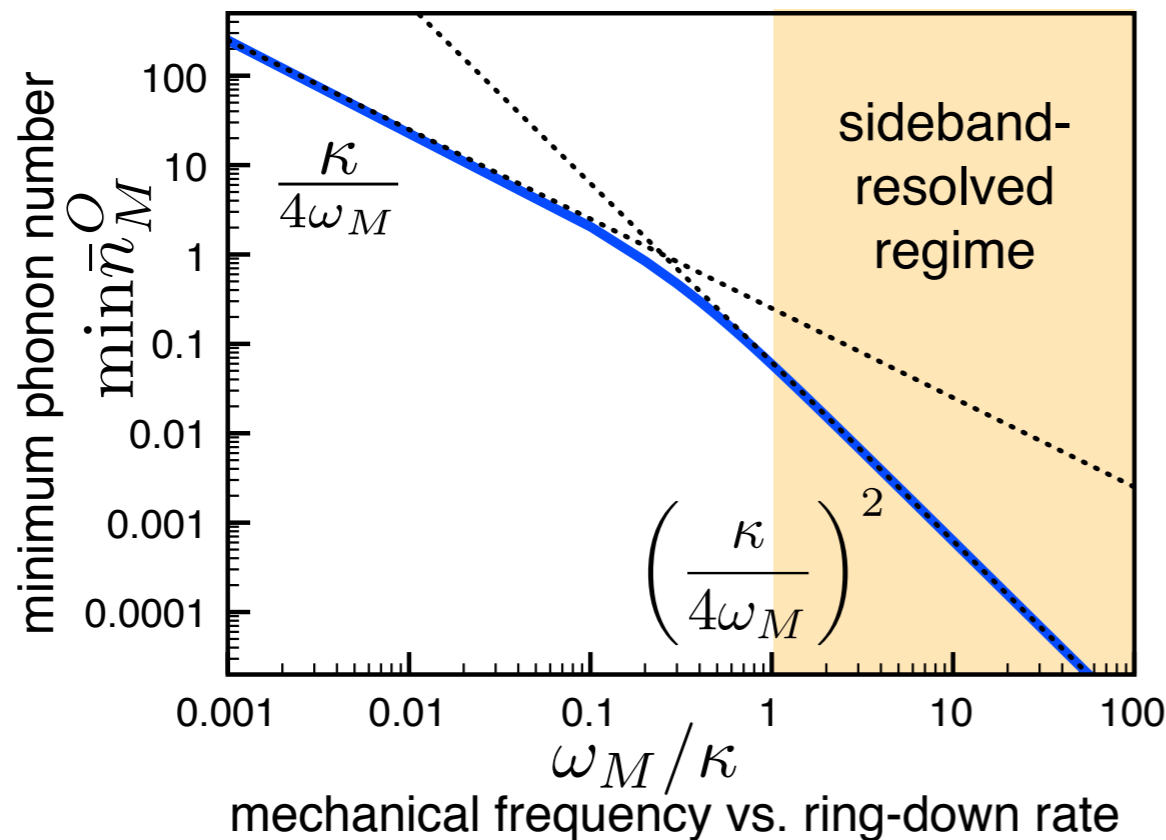
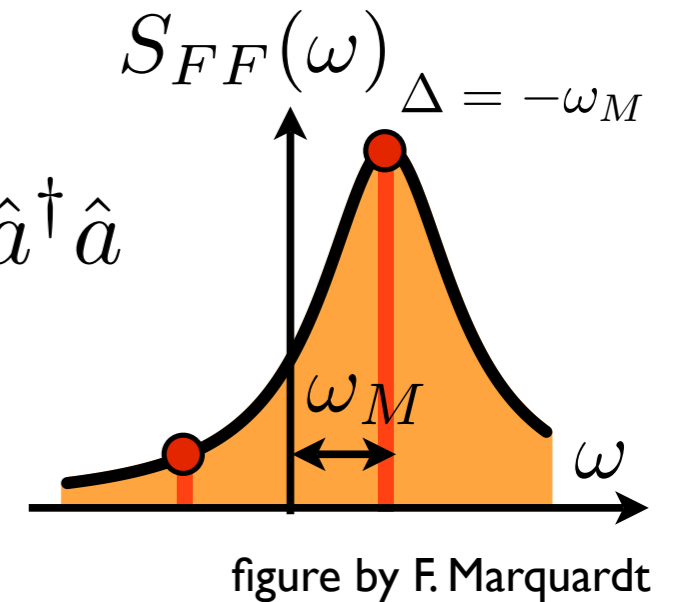


figure by F. Marquardt

Red-sideband cooling at

$$\omega_L = \omega_R - \omega_M$$

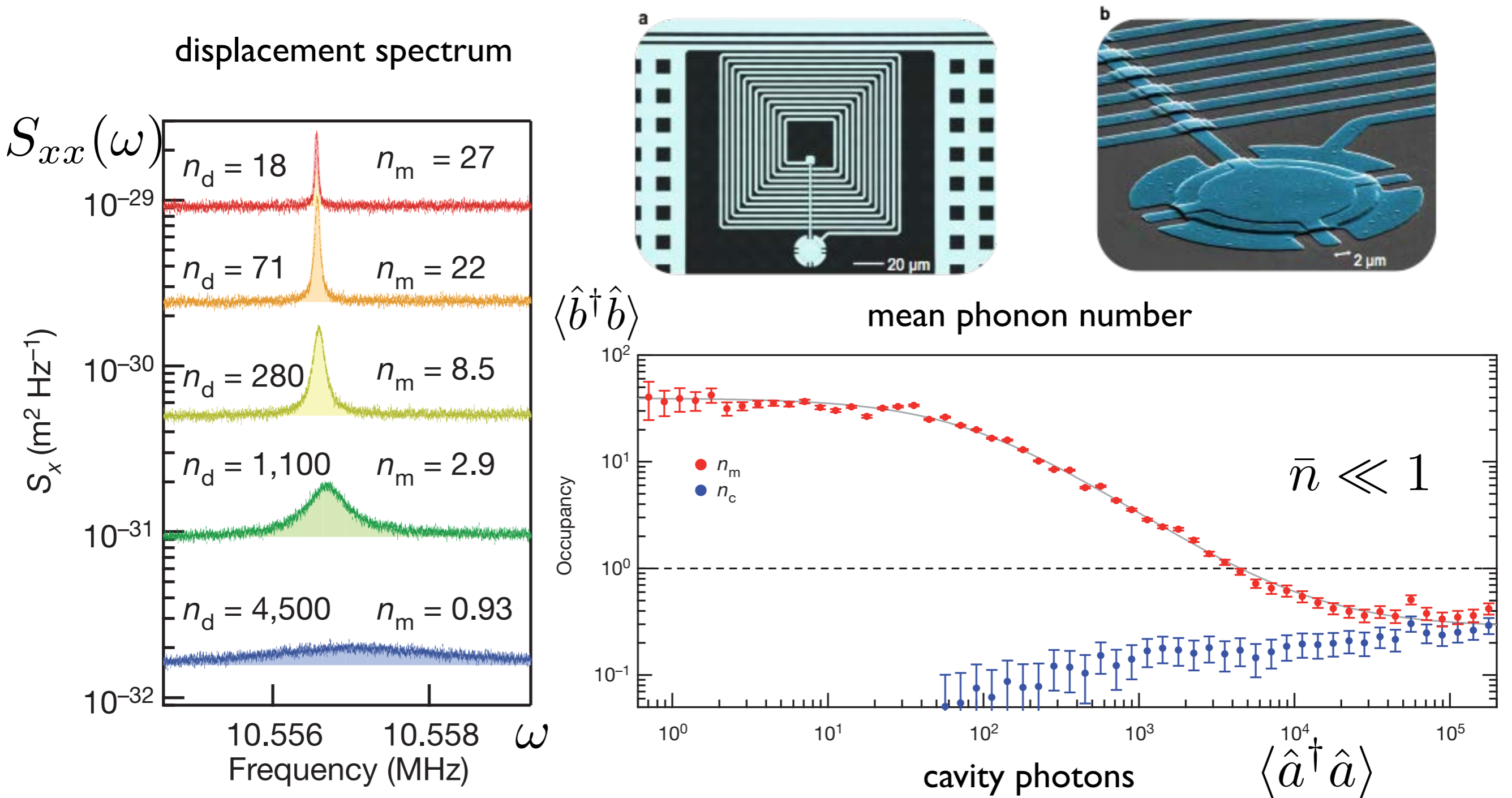
$$\omega_{\text{out}} = \omega_{\text{in}} + \omega_M$$

Ground-state cooling is possible in the sideband-resolved regime $\omega_M \gg \kappa$

Marquardt *et al.*, PRL **99**, 093902 (2007)

Wilson-Rae *et al.*, PRL **99**, 093901 (2007)

Sideband cooling: the experimental results



Mechanical systems are now firmly in the quantum regime.

→ atoms, superconducting circuits, and mechanics

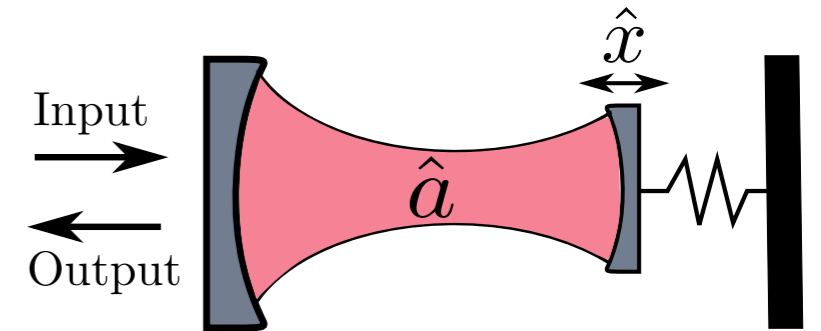
Teufel *et al.*, Nature **475**, 359 (2011)

Strong-coupling regime

Linear optomechanics

The Hamiltonian

$$\hat{H} = \hbar\omega_R \hat{a}^\dagger \hat{a} + \hbar\omega_M \hat{b}^\dagger \hat{b} + \hbar g (\hat{b} + \hat{b}^\dagger) \hat{a}^\dagger \hat{a}$$



For drive and decay we write $\hat{a} = e^{-i\omega_L t} (\bar{a} + \hat{d})$ and $\hat{b} = \bar{b} + \hat{c}$
 \rightarrow bistability

$$\hat{H} = -\Delta \hat{d}^\dagger \hat{d} + \omega_M \hat{c}^\dagger \hat{c} + g\bar{a}(\hat{c} + \hat{c}^\dagger)(\hat{d} + \hat{d}^\dagger) + \cancel{g\hat{d}^\dagger \hat{d}(\hat{c} + \hat{c}^\dagger)}$$

detuning $\Delta = \omega_L - \omega_R$

Effective coupling rate

Bilinear coupling

of photons $|\bar{a}|^2$

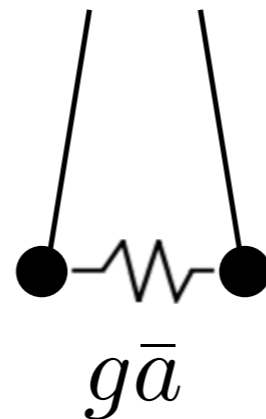
optical bath

$$T = 0$$

optical cavity

$$\hat{d}$$

$$-\Delta$$



$$g\bar{a}$$

mechanical mode

$$\hat{c}$$

$$\omega_M$$

mechanical bath

$$T \text{ or } n_{\text{th}}$$

$$\gamma$$

Static part of radiation-pressure force

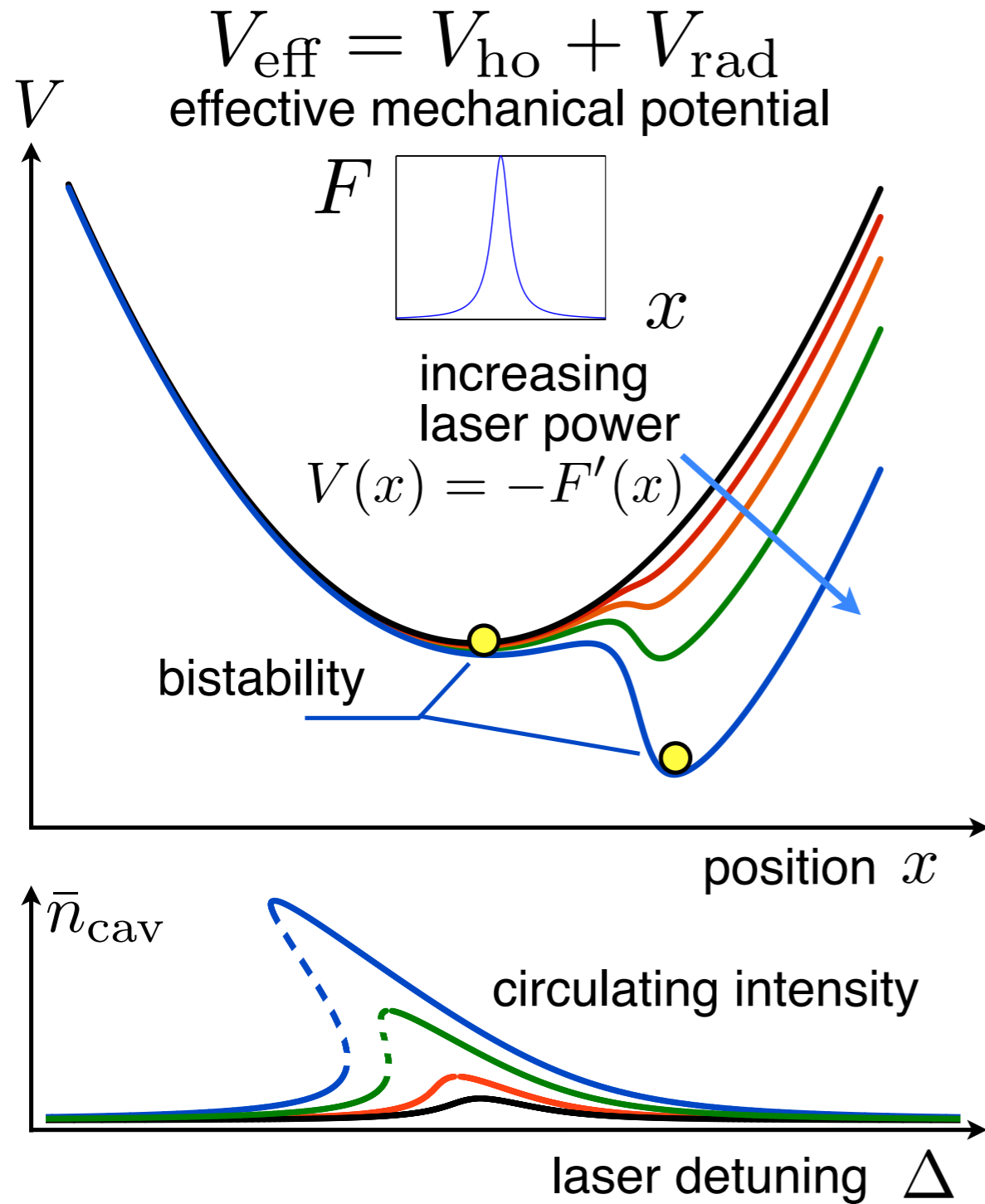
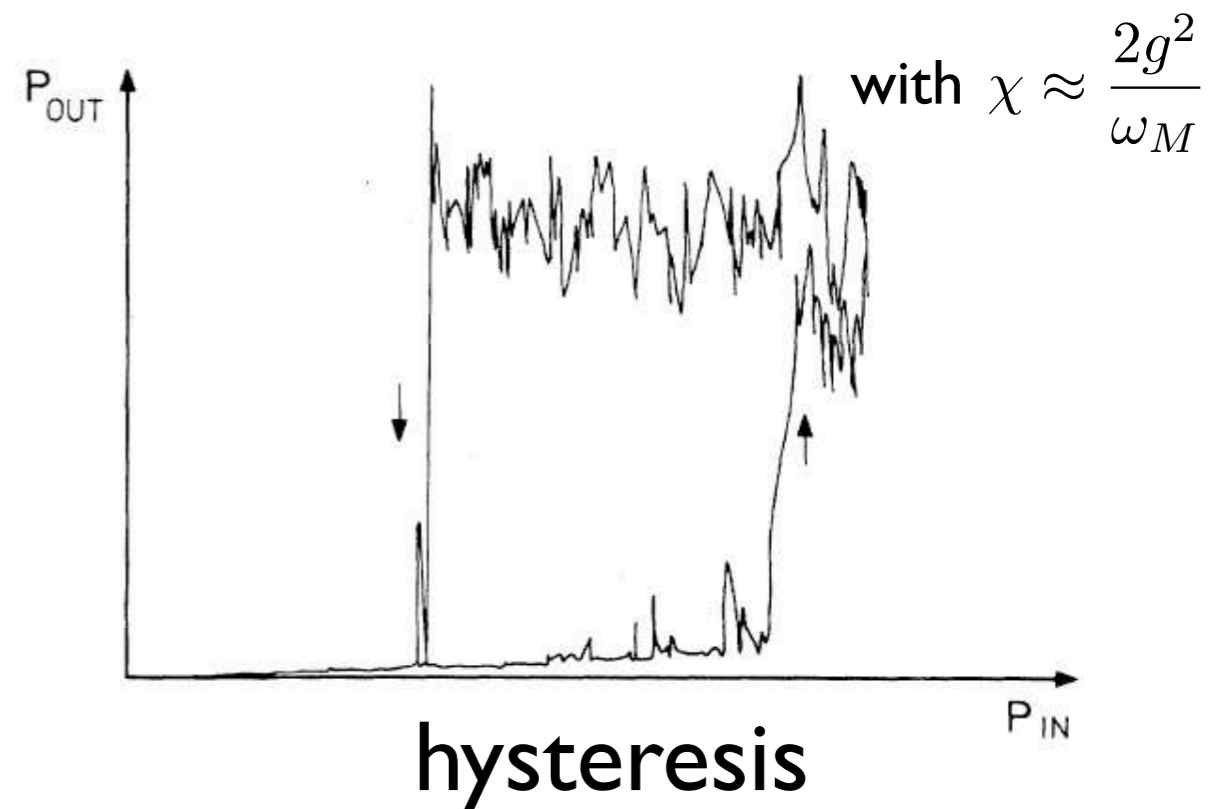


figure adapted from F. Marquardt

$$0 = i\Delta\bar{a} - \frac{\kappa}{2}\bar{a} - i\Omega - ig(\bar{b} + \bar{b}^*)\bar{a}$$

$$0 = -i\omega_M\bar{b} - \frac{\gamma}{2}\bar{b} - ig|\bar{a}|^2$$

$$\bar{n}_{\text{cav}} = \frac{\Omega^2}{\left(\frac{\kappa}{2}\right)^2 + (\Delta + \chi\bar{n}_{\text{cav}})^2}$$



Experiment: Dorsel *et al.*, PRL **51**, 1550 (1983)

Linear optomechanics

The Hamiltonian

$$\hat{H} = -\Delta \hat{d}^\dagger \hat{d} + \omega_M \hat{c}^\dagger \hat{c} + g\bar{a}(\hat{c} + \hat{c}^\dagger)(\hat{d} + \hat{d}^\dagger) + \cancel{gd^\dagger d(\hat{c} + \hat{c}^\dagger)}$$

detuning $\Delta = \omega_L - \omega_R$ Effective coupling rate Bilinear coupling # of photons $|\bar{a}|^2$

The equations of motion

$$\dot{\hat{d}} = i\Delta \hat{d} - \frac{\kappa}{2} \hat{d} - \sqrt{\kappa} \hat{d}_{\text{in}} - ig\bar{a}(\hat{c} + \hat{c}^\dagger)$$

$$\dot{\hat{c}} = -i\omega_M \hat{c} - \frac{\gamma}{2} \hat{c} - \sqrt{\gamma} \hat{c}_{\text{in}} - ig\bar{a}(\hat{d} + \hat{d}^\dagger)$$

Exact solution

$$\hat{c}(\omega) = \dots \hat{c}_{\text{in}} + \dots \hat{c}_{\text{in}}^\dagger + \dots \hat{d}_{\text{in}} + \dots \hat{d}_{\text{in}}^\dagger$$

$$\hat{d}(\omega) = \dots \hat{c}_{\text{in}} + \dots \hat{c}_{\text{in}}^\dagger + \dots \hat{d}_{\text{in}} + \dots \hat{d}_{\text{in}}^\dagger$$

$$\langle \hat{c}_{\text{in}}^\dagger(\omega) \hat{c}_{\text{in}}(\omega') \rangle = n_{\text{th}} \delta(\omega + \omega')$$

$$\langle \hat{c}_{\text{in}}(\omega) \hat{c}_{\text{in}}^\dagger(\omega') \rangle = (n_{\text{th}} + 1) \delta(\omega + \omega')$$

$$\langle \hat{c}_{\text{in}}^\dagger(\omega) \hat{c}_{\text{in}}^\dagger(\omega') \rangle = \langle \hat{c}_{\text{in}}(\omega) \hat{c}_{\text{in}}(\omega') \rangle = 0$$

We can calculate all observables!

Normal-mode splitting in the strong-coupling limit

$$S_{cc}(\omega) = \int dt e^{i\omega t} \langle \hat{c}^\dagger(t) \hat{c}(0) \rangle$$

$$S_{\text{out}}(\omega) = \int dt e^{i\omega t} \langle \hat{d}_{\text{out}}^\dagger(t) \hat{d}_{\text{out}}(0) \rangle$$

with $\hat{d}_{\text{out}} = \hat{d} + \sqrt{\kappa} \hat{d}_{\text{in}}$

$$S_{\text{out}}(\omega) = S_{FF}(-\omega) S_{xx}(\omega)$$

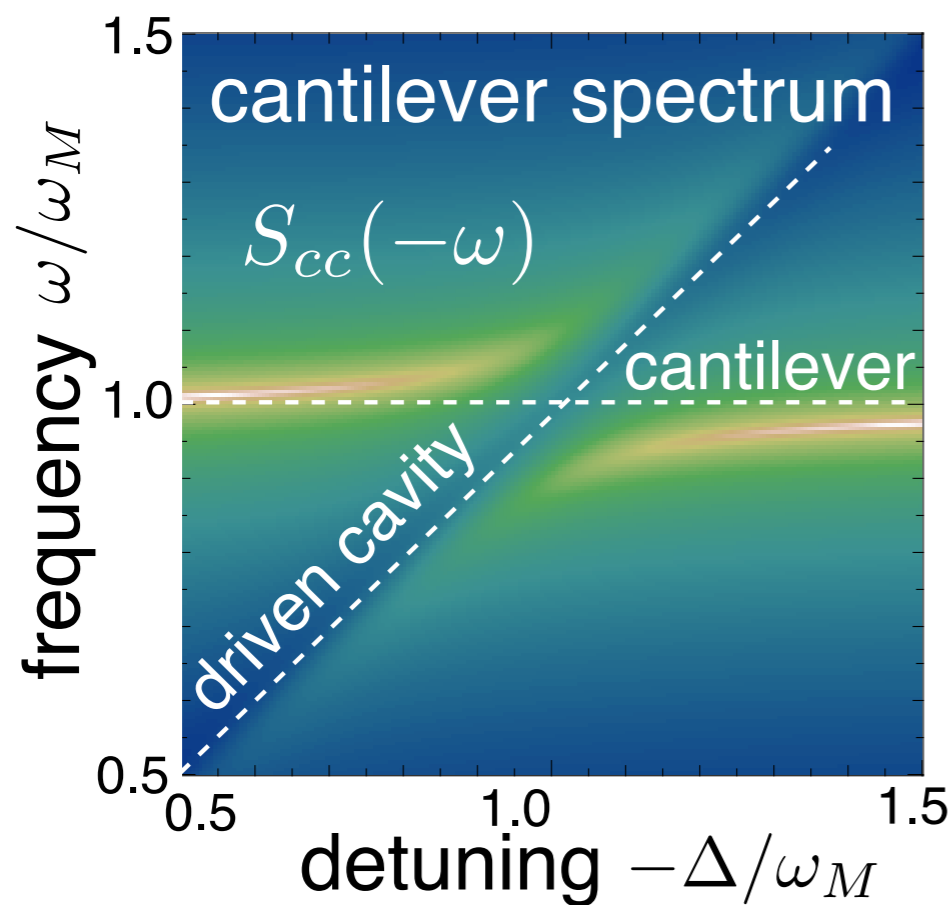
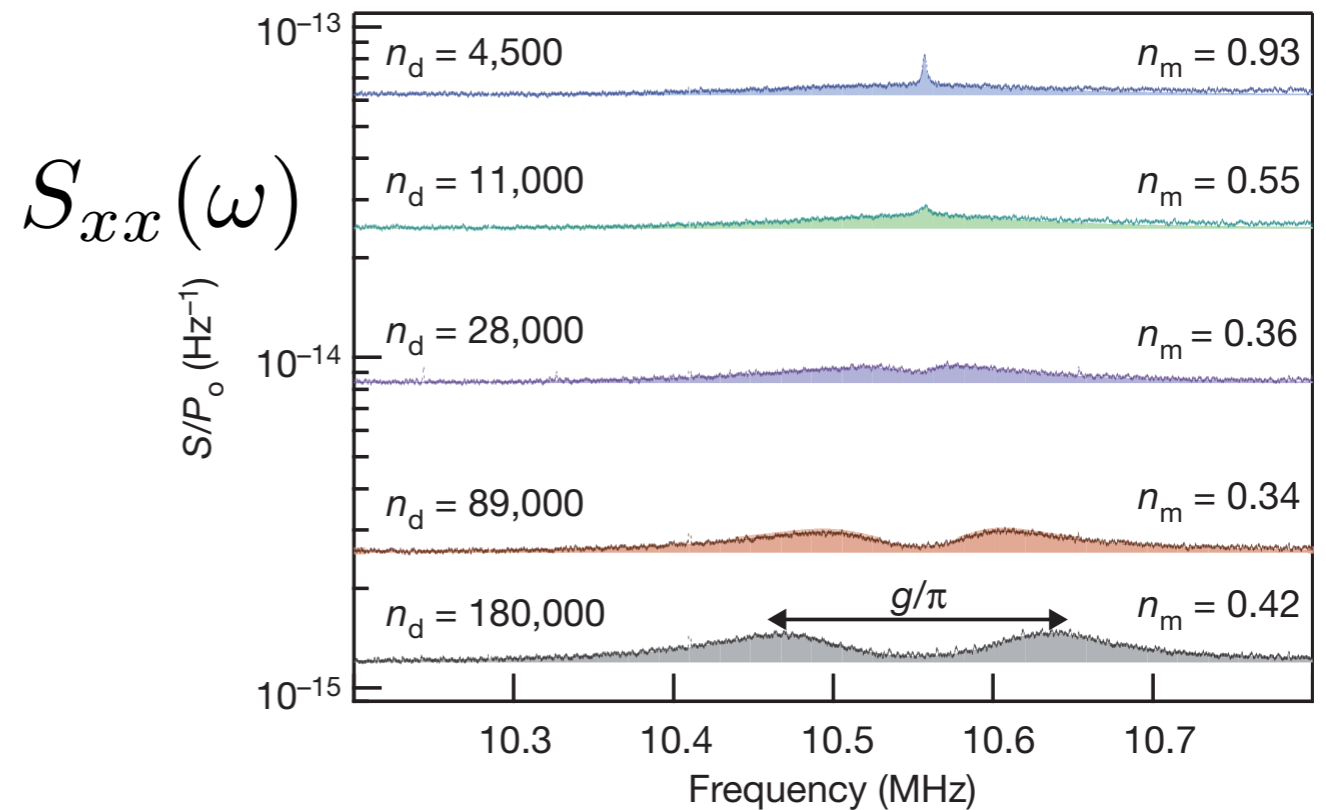


figure by F. Marquardt



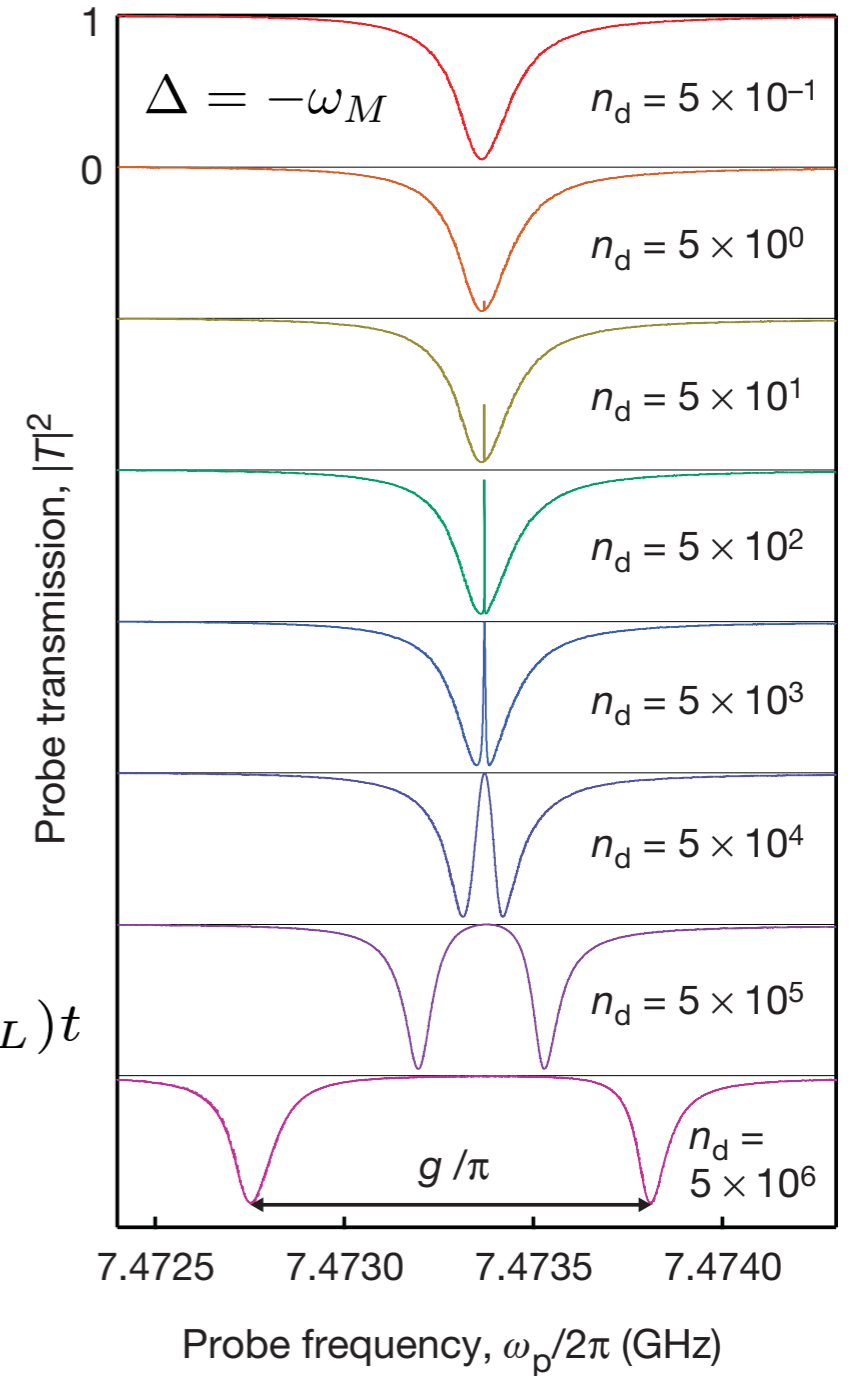
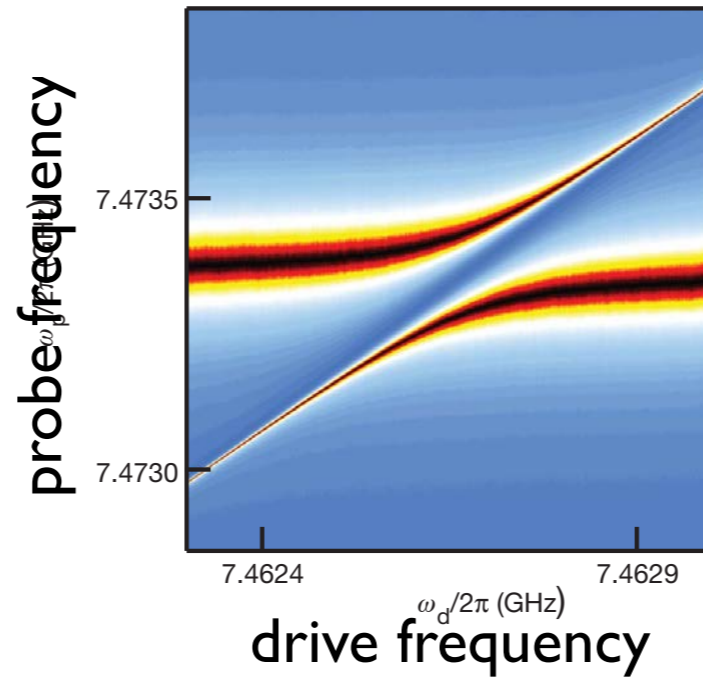
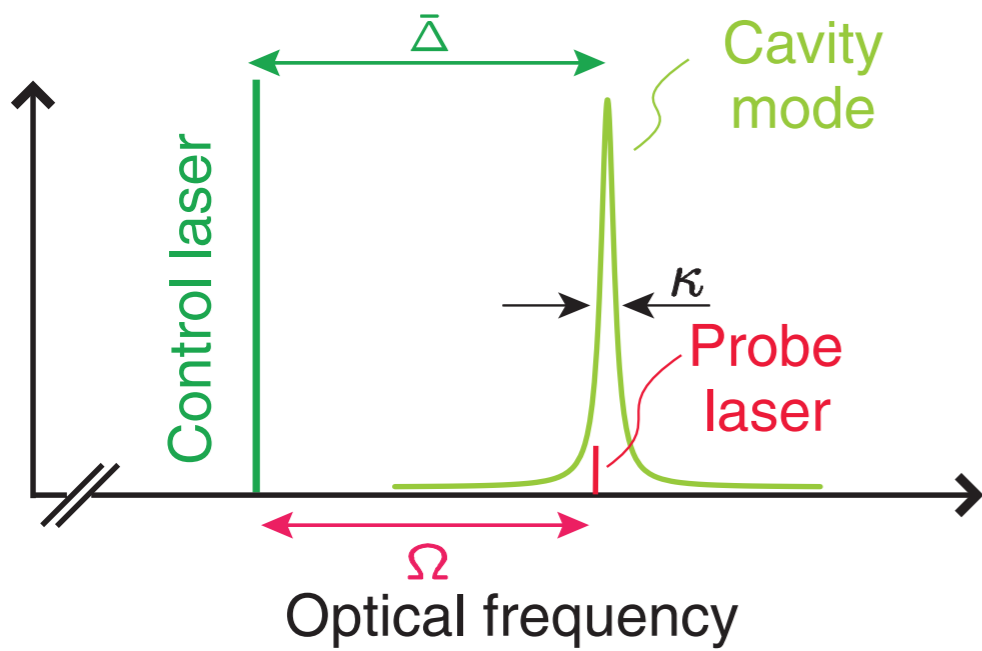
In the strong-coupling limit cavity and mechanics hybridize.

Gröblacher *et al.*, Nature **460**, 724 (2009)

Teufel *et al.*, Nature **471**, 204 (2011)

Theory: Dobrindt *et al.*, PRL **101**, 263602 (2008)

Optomechanically-induced transparency (OMIT)



coherent pump $\langle \hat{d}_{\text{in}}(t) \rangle \propto e^{-i(\omega_P - \omega_L)t}$

coherent signal $\langle \hat{d}(t) \rangle = A^- e^{-i(\omega_P - \omega_L)t} + A^+ e^{+i(\omega_P - \omega_L)t}$

$$A^- \propto \frac{1}{\frac{\kappa}{2} - i(\Omega + \Delta) + \frac{g^2 \bar{a}^2}{\frac{\gamma}{2} - i(\Omega - \omega_M)}}$$

with $\Omega = \omega_P - \omega_L$

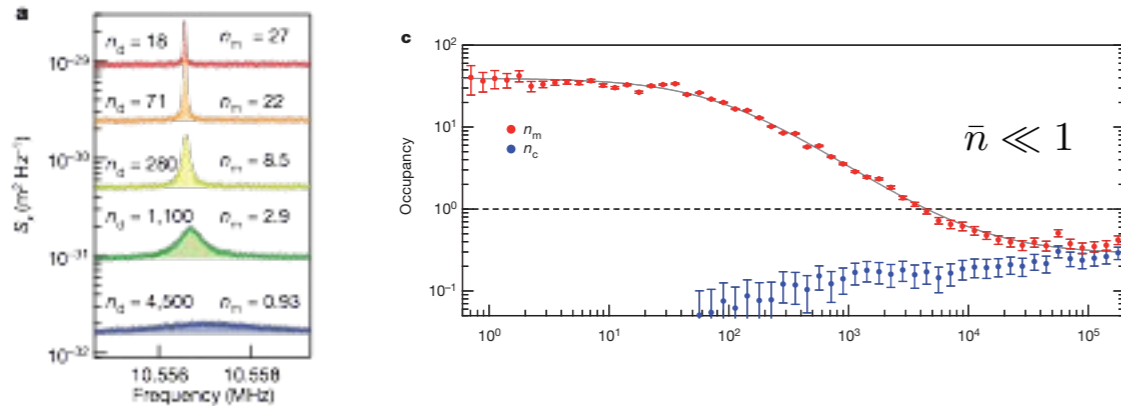
Weis *et al.*, Science **330**, 1520 (2010)

Teufel *et al.*, Nature **471**, 204 (2011)

Theory: Agarwal and Huang, PRA **81**, 041803 (2010)

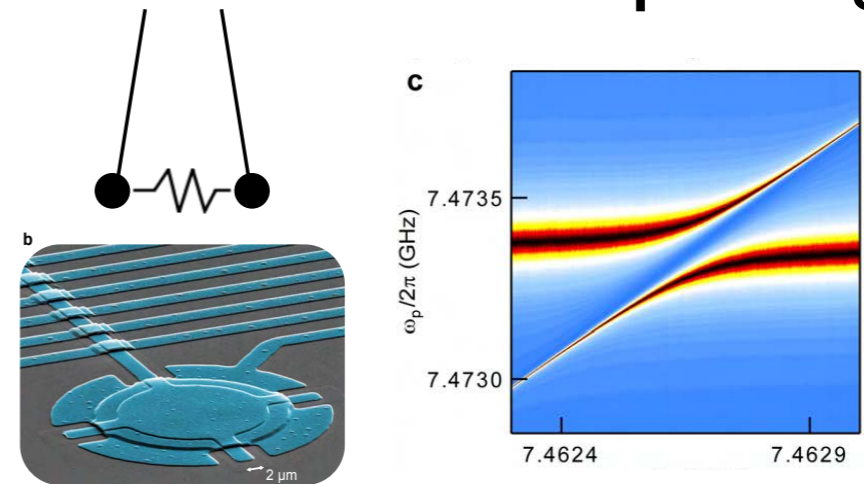
The state of the art in optomechanics

Ground-state cooling



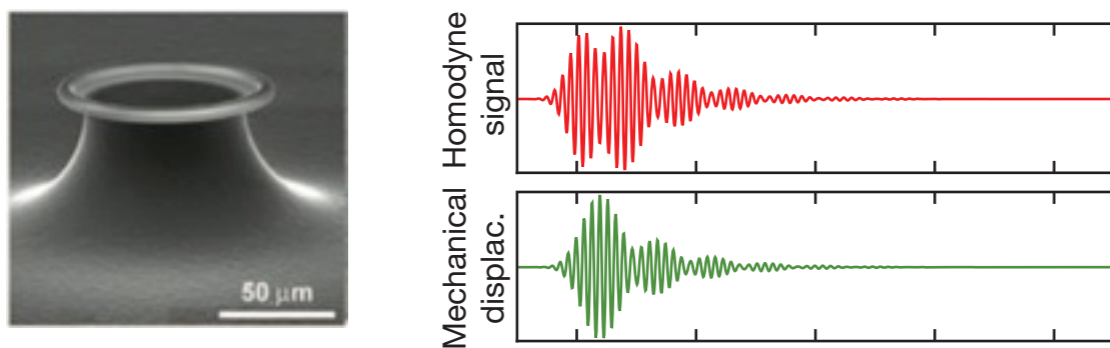
Nature **475**, 359 (2011)

Normal-mode splitting



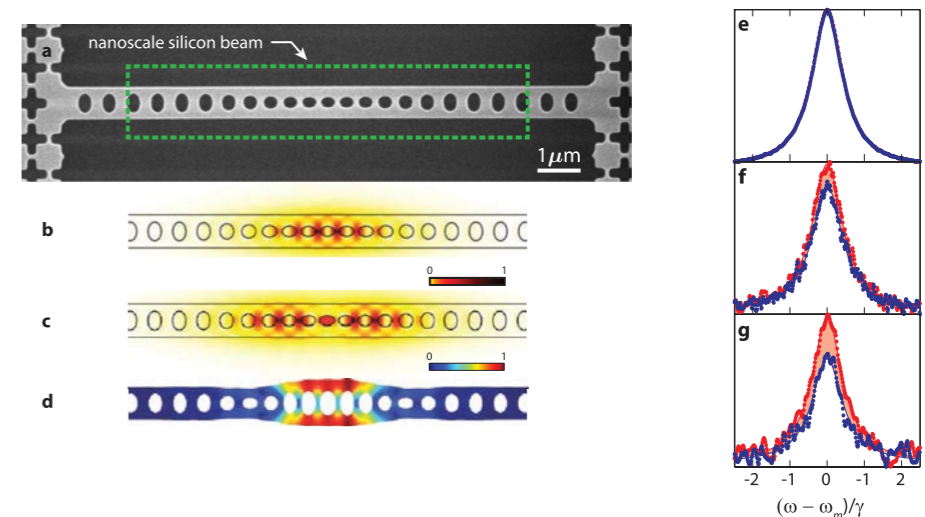
Nature **471**, 204 (2011)

Coherent coupling



Nature **482**, 63 (2012)

Sideband thermometry

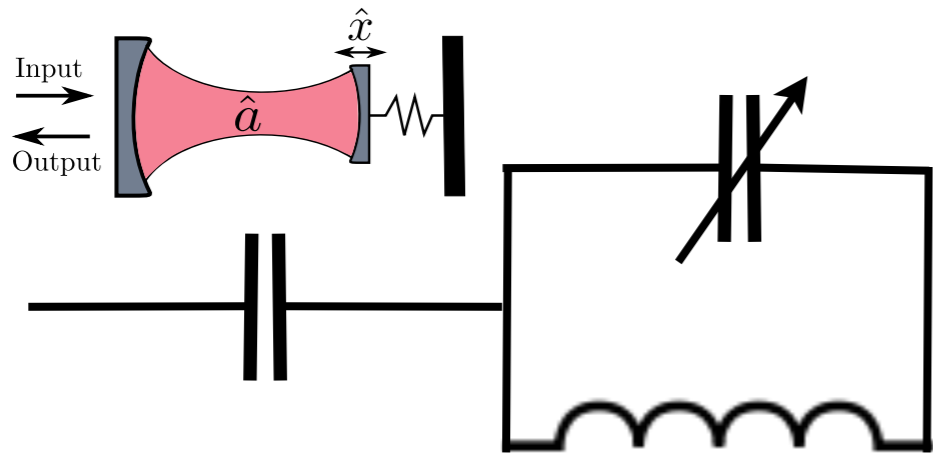


PRL **108**, 033602 (2012)

Dissipative coupling

Dispersive vs. dissipative coupling

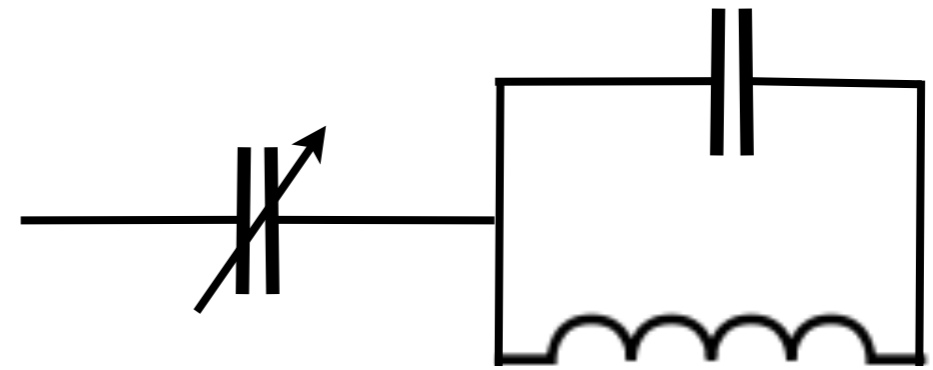
Dispersive coupling



$$\omega_R(\hat{x}) = \omega_R + \frac{\partial \omega_R}{\partial x} \hat{x}$$

$$\hat{H}_{\text{int}} \propto \hat{a}^\dagger \hat{a} \hat{x}$$

Dissipative coupling



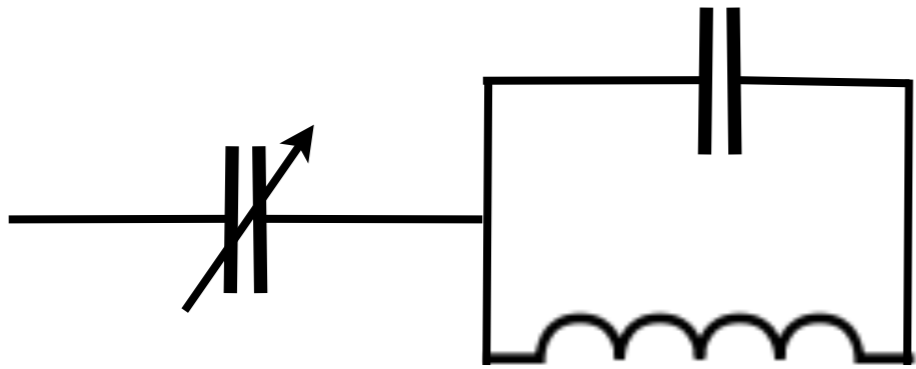
$$\kappa(\hat{x}) = \kappa + \frac{\partial \kappa}{\partial x} \hat{x}$$

$$\hat{H}_{\text{int}} \propto \hat{H}_{\text{damp}} \hat{x}$$

with

$$\hat{H}_{\text{damp}} \propto \sqrt{\kappa} \sum_q (\hat{a}^\dagger \hat{a}_q + \hat{a}_q^\dagger \hat{a})$$

Cooling with dissipative coupling



$$\hat{H}_{\text{int}} \propto \hat{H}_{\text{damp}} \hat{x}$$

with

$$\hat{H}_{\text{damp}} \propto \sqrt{\kappa} \sum_q (\hat{a}^\dagger \hat{a}_q + \hat{a}_q^\dagger \hat{a})$$

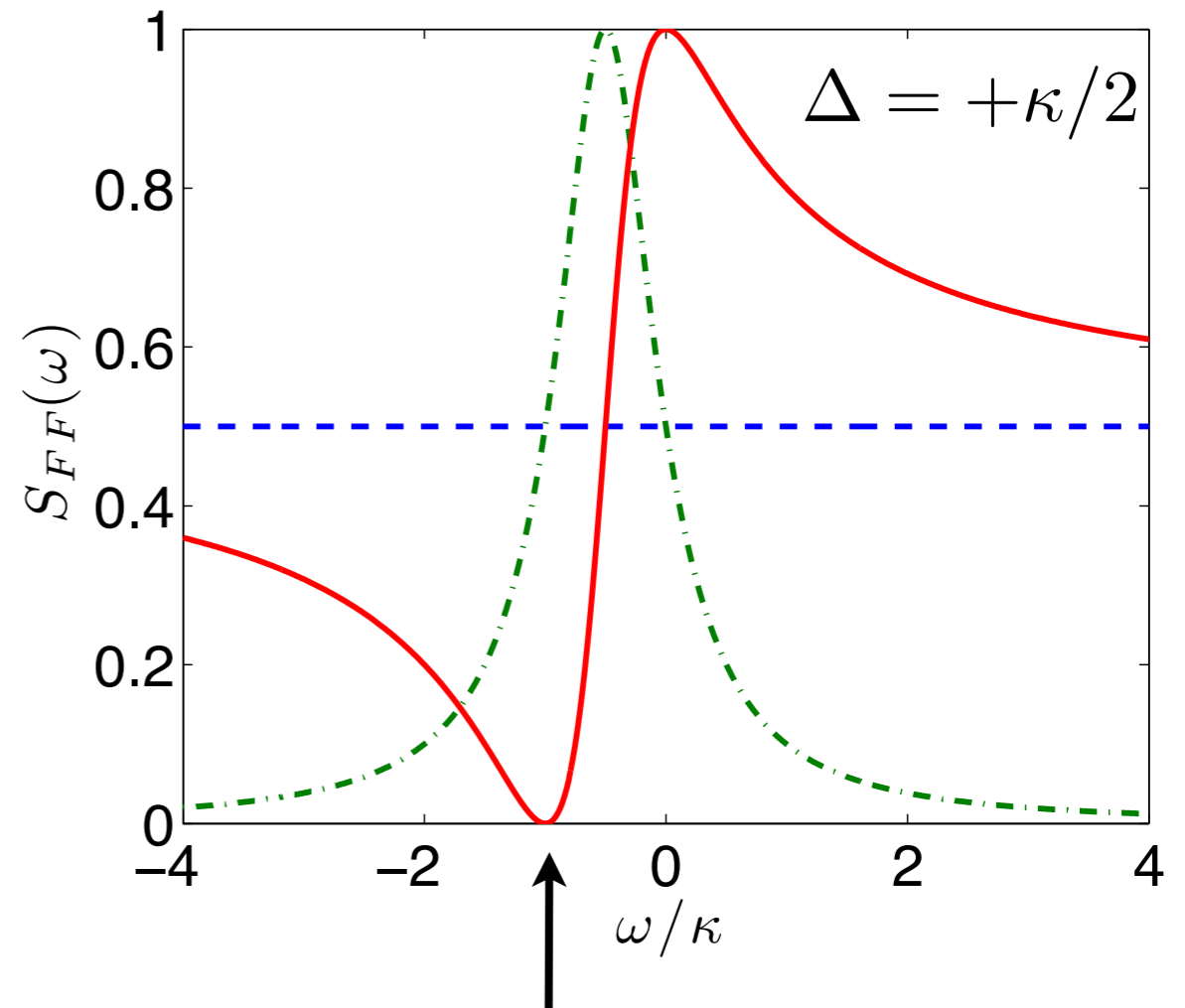
Two noise sources! $\hat{F} = \hat{F}_1 + \hat{F}_2$

$$\hat{F}_1 \propto \hat{d}_{\text{in}} + \hat{d}_{\text{in}}^\dagger \quad \hat{F}_2 \propto \hat{d} + \hat{d}^\dagger$$

They are random, but not independent! $\hat{d}(\omega) \propto \chi_R(\omega) \hat{d}_{\text{in}}$

$$S_{FF}(\omega) \propto \left| 1 - \left(\frac{\kappa}{2} + i\Delta \right) \chi_R(\omega) \right|^2$$

with $\chi_R(\omega) = [\kappa/2 - i(\omega + \Delta)]^{-1} \rightarrow$ Fano line shape

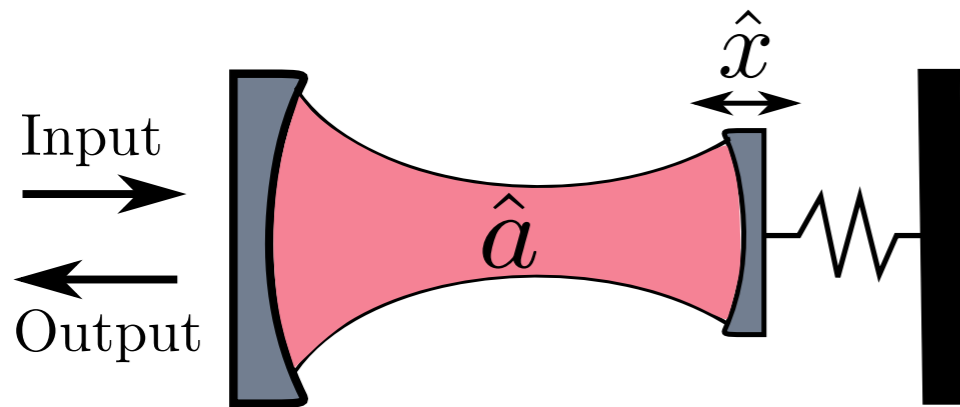


Zero temperature bath!

$$\Gamma_{\text{opt}}^\uparrow \propto S_{FF}(-\omega_M)$$

This enables ground state cooling outside the resolved-sideband limit!

Conclusions



$$\hat{H} = \omega_R \left(1 - \frac{\hat{x}}{L} \right) \hat{a}^\dagger \hat{a} + \omega_M \hat{b}^\dagger \hat{b}$$

radiation-pressure force

- Applications: sensors, transducers, decoherence
- Damping is due to the finite time lag between mirror and radiation-pressure force: $\omega_M \gg \kappa$
- With red-sideband cooling $\omega_L = \omega_R - \omega_M$ the ground state was reached in experiments: $\bar{n} \ll 1$
- At strong coupling optics and mechanics hybridize.